



RCE/2882  
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PTO/SB/30 (10/2001)  
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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/775,841
Filing Date	February 1, 2001
First Named Inventor	Ken Sakuma
Art Unit	2882
Examiner Name	Chih-Cheng Glen Kao
Attorney Docket Number	0113197-002

#13/RCE  
m. r. k. u.  
5/22/03

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

## 1. Submission required under 37 CFR §1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR §1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- iii. ☐ Information Disclosure Statement (IDS)
- ii. ☐ Affidavit(s)/Declaration(s)
- iv. ☐ Other \_\_\_\_\_

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## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR §1.103(c) for a period of \_\_\_\_\_ months (Period of suspension shall not exceed 3 months; Fee under 37 CFR §1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 CFR §1.17(e) is required by 37 CFR §1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 02-1818
- i. ☐ RCE fee required under 37 CFR §1.17(e) 05/21/2003 AWONDAF1 00000041 09775841
- ii. ☐ Extension of time fee (37 CFR §§1.136 and 1.17) 01 FC:1801 750.00 OP
- iii. ☒ Other Any additional fees
- b. ☒ Check in the amount of \$ 750.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type)	Michael S. Leonard	Registration No. (Attorney / Agent)	37,557
Signature		Date	May 13, 2003

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark

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Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Sakuma, K., et al.  
Appl. No.: 09/775,841  
Conf. No.: 1155  
Filed: February 1, 2001  
Title: OPTICAL SWITCH  
Art Unit: 2882  
Examiner: Chih-Cheng Glen Kao  
Docket No.: 0113197-002

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL UNDER 37 CFR 1.8**

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal Letter (duplicate);
2. Petition for Extension of Time (Duplicate);
3. Request for Continued Examination (RCE) Transmittal (duplicate);
4. Response to Office Action (10 pgs.);
5. Return receipt postcard; and
6. Checks in the amount of \$750.00 and \$410.00.

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on May 13, 2003.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Robert Buccieri  
Name of Person Mailing Correspondence

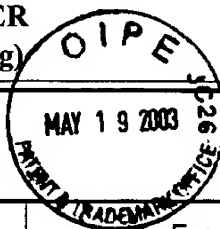
Signature

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TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.  
0113197-002

In Re Application Of: Ken Sakuma et al.



Serial No.  
09/775,841

Filing Date  
February 1, 2001

Examiner  
Chin-Cheng Glen Kao

Group Art Unit  
2882

Title: OPTICAL SWITCH

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Response to Office Action (10 pgs.); Request for Continued Examination Transmittal (duplicate); Petition for Extension of Time (duplicate); checks in the amount of \$750.00 and \$410.00; Certificate of Mailing (1 pg.); and return receipt postcard.

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$1,160.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
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Dated: May 13, 2003

Michael S. Leonard  
Reg. No. 37,557  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4270

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence

CC: